A Gift of Securities to the Alberta Cancer Foundation.

Instructions for Transferring Stocks or Mutual Funds.

To transfer securities to the Alberta Cancer Foundation, please complete this form and send to the strategic philanthropy team at securities@albertacancer.ca. Once we reply to confirm we can accept, email the form to your broker to initiate the transfer. Note: If you are gifting Investor's Group mutual funds, please use the Investor's Group specific form found at albertacancer.ca/donate/donate-securities

Thank you for making a gift of stocks, bonds, or mutual funds to the Alberta Cancer Foundation. You are making an impact for Albertans facing cancer and doing so in the most tax efficient way possible.

Following these three steps will help you quickly and easily donate your gift of publicly listed securities to the Alberta Cancer Foundation.

Step 1:

Complete the transfer form titled "Letter of Authorization from Donor to Broker" available from your Alberta Cancer Foundation contact, at any one of our offices, and on our website.

Step 2:

We'll ensure your securities can be transferred. Email the completed form to your Alberta Cancer Foundation, strategic philanthropy team at securities@albertacancer.ca. We will confirm our ability to accept the transfer with our Investment Manager and will have a response to you within two business days.

Step 3:

Initiate the transfer with your broker. Once we have confirmed and get back to you, email the completed form to your broker or investment advisor who will initiate, and be responsible for, the transfer. Notifying us when this step is completed will enable us to help make the transfer happen as timely as possible.

Additional note for gifts of mutual funds: To transfer a mutual fund, your broker or delivering institution will also need to submit a Power of Attorney form with your Letter of Authorization transfer form. See our Frequently Asked Questions section for more information on this form. Note: If you are gifting Investor's Group mutual funds, please use the Investor's Group specific form found at albertacancer.ca/donate/donate-securities

Important notes:

- Your receipt will be valued based on the closing price on the day when the shares are legally transferred to the Foundation's account at our custodial agent, RBC Investor Services. (Note: Shares are considered legally transferred only when delivery is confirmed by our custodial agent)
- The Alberta Cancer Foundation's policy for donated securities is to use them to our best financial advantage as per the direction of our Investment Manager. As such, there maybe securities that we are not able to accept and we reserve the right to accept or decline specific securities. Please contact us in advance to be sure your gift can be accepted.

Alberta Cancer Foundation Gifts of Marketable Securities.

ALBERTA CANCER FOUNDATION

Letter of Authorization from Donor to Broker.

Donor Information

Name

Address	City	Province	Postal Code

Daytime Phone	Email	Foundation Contact (if applicable)

Donor Signature	Date

Description of Securities to be Donated

Please check one: ☐ Shares ☐ Mutual Funds (Power of Attorney from delivering institution required)

Quantity	Name of Security/Mutual Fund (or attached list of securities)

CUSIP # (or attached list)	Expected Date of Transfer

Use of Funds (please check one)

☐ I would like my gift to be designated where the need is greatest

☐ I would like to direct my gift to: ______________________

Broker / Brokerage Account Information

Broker Name	Financial Institution

Broker's Phone Number	Broker's Email

CUID or DTC #

Transfer Details to the Alberta Cancer Foundation

Delivery of securities for CAD settlement should be made via RBC Investor Services:

Reference account name: Alberta Cancer Foundation.

Delivering to: (check one)	☐ Canadian Transfers via CDS	Reference Account#: 109323002	CUID: RTRA
	☐ US Transfers via DTC	Reference Account#: 109323003	DTC: 901-298307

Brokers: Please contact Fahd Sharief at fahd.sharief@rbc.com to arrange delivery prior to date of transfer.
For mutual funds, broker code is 9190/LIO
Please note: All transfers of securities to the Alberta Cancer Foundation are subject to review, approval, and acceptance by the Foundation.

Transferring Securities to the Alberta Cancer Foundation.

Frequently Asked Questions.

To assist further, we've provided some responses to some frequently asked questions; however, please always feel free to contact us to discuss your specific transfer.

1. Which fields are required?

All of the information is important; however, the following fields are required in order for a transfer to be able to proceed:

- Donor name and contact information: Donor name and mailing address is needed in order for us to be able to issue a charitable tax receipt.
- Donor signature: The signature is required to authorize the transfer
- Security name(s) and quantity of shares
- CUSIP #: CUSIP stands for the Committee on Uniform Securities Identification Procedures. All securities have a unique CUSIP identifier that is nine characters in length, consisting of numbers and letters.
- CUID or DTC #: This code is a unique identifier of the institution currently holding and therefore delivering the security. In Canada, for securities held on the CDS, the customer unit identifier (CUID) is a four letter code. In the US, for securities held on the Depository Trust Company (or DTC) this is a four digit code. Self-managed securities also require the CUID or DTC to identify the institution holding the securities.
- Indication of which depository security will be transferred in to: If Canadian traded on the CDS, please deposit on the CDS as per the account details. If US traded on the DTC, please deposit to DTC as per account details. By indicating where your gift will be on the form, we can efficiently locate it.
- For Mutual Funds: A Power of Attorney Transfer form is required. Mutual funds are registered in the name of your institution where they are held, or in some cases in your own name. To gift them, they need to be re-registered into the name of the Alberta Cancer Foundation, which is accomplished by the institution submitting a Power of Attorney form to the transfer agent to reissue the mutual fund in our name. Once they are re-registered, they will be legally transferred to the Foundation's account at our custodial agent. Your receipt will be valued based on the closing price on the day when the mutual fund is received in the Foundation's account. Note: If you are gifting Investor's Group mutual funds, please use the Investor's Group specific form found at albertacancer.ca/donate/donate-securities

2. Why does the form need to go to the Alberta Cancer Foundation before I send it to my broker?

The Alberta Cancer Foundation is grateful for your thoughtfulness and gift and need to put your generosity to work for Albertans facing cancer. With the information you provide to us first, our collaborative team is able to set us up to make the most impact possible by guiding the right course and setting up the transfer as quickly and smoothly as possible.

3. When will I receive my charitable tax receipt and what will it be valued at?

Your receipt will be valued based on the closing price on the day when the shares are legally transferred to the Foundation's account at our custodial agent, RBC Investor Services. (Note: Shares are considered legally transferred only when delivery is confirmed by our custodial agent). Your receipt will be issued as soon as we can after we receive the shares. Note: We ask for security transfers to be started prior to December 15 to ensure a tax receipt can be issued by year-end.